

3523 P 007 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Wilhelm SCHWAEBLE

Serial No. 09/503,421

Filed February 14, 2000

For: C1q AND COLLECTIN RECEPTOR

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) Examiner \_\_\_\_\_

)

) Group Art Unit 1611

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) **SUBMISSION OF DOCUMENTS**

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Applicant submits the following materials to complete the filing of the above-identified application:

1. one-page originally executed Power of Attorney
2. one-page originally executed 37 C.F.R. § 3.73 (b)
3. two-page Assignment (executed copy)

Please charge any additional fees, or credit any overpayment, to our Deposit Account No. 23-0280.

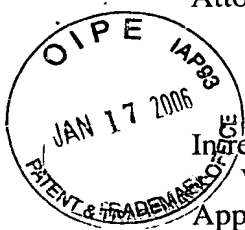
Respectfully submitted,

Monique A. Morneault  
Reg. No. 37,893

WALLENSTEIN WAGNER & ROCKEY LTD.  
311 South Wacker Drive - 5300  
Chicago, IL 60606  
(312) 554-3300 :AJT 238017

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED  
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P.O. Box 1450, Alexandria, VA 22313-1450, ON January 10, 2006.

  
Abigail J. Tam



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Wilhelm SCHWAEBLE

Application No.: 10/744,936

Confirmation No.: 3954

Filed On: December 23, 2003

Examiner:

Art Unit: 1647

For: C1q and Collectin Receptor

**POWER OF ATTORNEY**

I hereby appoint:

Practitioners associated with the **Customer Number 23424** as my attorney(s) or agent(s) to prosecute the application identified above and to transact all business in the United States Patent and Trademark Office connected therewith.

- ☒ Please send correspondence and direct telephone calls for the above-identified application to the address associated with the above-identified Customer Number, and specifically to the individual listed below:

Name: Monique A. Morneault

**OR**

Please change the correspondence address for the above-identified application to:

- ☐ the address associated with Customer Number

**OR**

- ☐ Firm or Individual Name:

Address:

Address:

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State:

Zip:

Country:

Telephone:

Fax:

I am the:

- ☐ Applicant/Inventor.
- ☒ Assignee of record of the entire interest. See 37 C.F.R. § 3.71  
Statement under 37 C.F.R. § 3.73(b) is enclosed

**SIGNATURE of Applicant or Assignee of Record**

Name:

**DR. PAUL GOODWIN**

Signature:

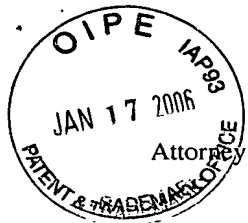
*P.A. Goodwin*

Date:

*November 22nd 2005*Phone: *744(6) 116 252 5120*

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.\*

- ☐ \*Total of \_\_\_\_\_ forms are submitted.



BEST AVAILABLE COPY

Attorney Docket No. 3523 P 007

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

STATEMENT UNDER 37 C.F.R. § 3.73(b)

Applicant/Patent Owner: Wilhelm SCHWAEBLE

Application No./Patent No.: 10/744,936

Filed/Issue Date: December 23, 2003

Entitled: C1q and Collectin Receptor

University of Leicester, a

university

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_ Frame \_\_\_\_\_ or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.  
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.  
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

- ☐ Additional documents on the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

.[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 C.F.R. Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

November 22nd 2005  
Date

44 (0) 116 252 5120  
Telephone number

**DR. PAUL GOODWIN**

Typed or printed name

P.A. Goodwin

Signature

**BUSINESS DEVELOPMENT MANAGER**  
Title



## PATENT APPLICATION ASSIGNMENT

WHEREAS, I, Wilhelm Schwaeble, having a correspondence address of 13 Swithland Court, Woodhouse Eves, Leicester, Leicestershire, LE12 8SJ, GB, have invented new and useful improvements in

### **C1q and Collectin Receptor**

for which application is being made for Letters Patent, said application and further being identified by International Application No. **PCT/GB98/02430**.

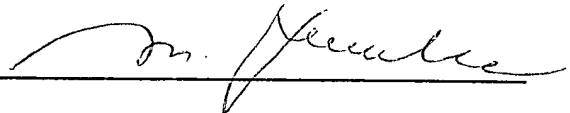
WHEREAS, University of Leicester having a correspondence address at University Road, Leicester, Leicestershire, LE1 7RH, GB, is desirous of acquiring our entire right, title and interest in said application and any Letters Patent which may issue thereon:

NOW THEREFORE, be it known by all whom it may concern that for and in consideration of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and other good and valuable consideration, we hereby assign to said organization for the territory of the United States of America and the entire world our entire right, title and interest in and to said invention, patent application and patent, including all priority rights for patent applications foreign to the United States of America.

I HEREBY covenant and agree that we will at any time, upon the request and at the expense of said organization, execute and deliver any and all papers and do all lawful acts that may be necessary or desirable to perfect the title to said invention, applications and patents, and we authorize the Commissioner of Patents and Trademarks to issue Letters Patent to said organization.

IN TESTIMONY WHEREOF, we execute this assignment on the 9 day of February, 2000.

Date: 09 FEB 2000

  
(signature of Wilhelm SCHWAEBLE)